Electronic Acknowledgement Receipt					
EFS ID:	4952975				
Application Number:	10604699				
International Application Number:					
Confirmation Number:	1698				
Title of Invention:	PROCESS FOR THE SELECTION AND EVALUATION OF INVESTMENT PORTFOLIO ASSET ALLOCATION STRATEGIES				
First Named Inventor/Applicant Name:	James Allen Charnley				
Customer Number:	03017				
Filer:	David Josephs				
Filer Authorized By:					
Attorney Docket Number:	W012 P00898-US1				
Receipt Date:	12-MAR-2009				
Filing Date:	11-AUG-2003				
Time Stamp:	12:00:42				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$555
RAM confirmation Number	8620
Deposit Account	020900
Authorized User	JOSEPHS,DAVID R.

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	W12P898US1_Amendment_3- 12-2009.pdf	76883	yes	17					
		0affc2d8a199c71349987b71a911bf459fad 3fc4							
	Multipart Description/PDF files in .zip description								
	Document Description		Start	End					
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1					
	Specification		2	5					
	Claims		6	11					
	Applicant Arguments/Remarks Made in an Amendment		12	17					
Warnings:									
Information:									
2 Extension of Time	W12P898US1_extension_of_ti me.pdf	313269	no	2					
		ad2a0a96d77a4f8043acff5fbb5b1e0e2a49 8adf							
Warnings:									
Information:									
3 Fee Worksheet (PTO-06)	fee-info.pdf	30428	no	2					
		529a935fbf4690e22c7d31699b1c917be4b 0b89b							
Warnings:									
Information:									
Total Files Size (in bytes): 420580									

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.